

I hereby certify that on Sept. 18, 2001, which is the date I am signing this certificate, I am depositing this correspondence and identified attachments with the U.S. Postal Service, first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Judith C. Brandon  
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Applicant: Douglas J. Button, et al.  
Title: Two-Stage Phasing Plug System in a  
Compression Driver  
Serial No. 09/921,149  
Filed: July 31, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed below and on the attached Form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), as an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Copies of the cited documents are enclosed.

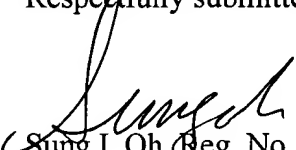
#### English Language Documents:

1. U.S. Patent No. 4,050,541 issued September 27, 1977 to Henricksen.
2. U.S. Patent No. 4,143,738 issued March 13, 1979 to Nakazono, et al.
3. U.S. Patent No. 5,117,462 issued May 26, 1992 to Bie.
4. U.S. Patent No. 6,064,745 issued May 16, 2000 to Avera.
5. Article entitled "An Investigation of the Air Chamber of Horn Type Loudspeakers" published in *The Journal of the Acoustical Society of America*, Volume 25, Number 2, March 1953.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks, and that the references be made of record as cited references in the application.

**The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1853 during the pendency of prosecution of this application. Should such additional fees be associated with an extension of time, applicant respectfully requests that this paper be considered a petition therefor. A duplicate of this paper is enclosed for the Deposit Account, should it be needed.**

Respectfully submitted,

  
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